SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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				or S	Section 30(h) of the	Ínvestr	nent C	ompany Act o	of 1940					
1. Name and Address of Reporting Person [*] Anderson Kenneth Carl (Last) (First) (Middle) C/O C4 THERAPEUTICS, INC. 490 ARSENAL WAY #120					ssuer Name and Tic Therapeutics				(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
						<i>.</i>		-		X Director	10	% Owner		
					Date of Earliest Tran 02/2023	saction	(Mon	h/Day/Year)		Officer (give ti below)	Officer (give title Oth below) bel			
					Amendment, Date	of Origi	nal Fi	ed (Month/Da		6. Individual or Joint/Group Filing (Check Applicable Line)				
											X Form filed by One Reporting Person			
(Street) WATERTOWN MA 02472										Form filed by Person	Form filed by More than One Reporting Person			
······		02472		Rule 10b5-1(c) Transaction Indication										
(City)	(State)	(Zip)		Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										
	Т	able I - N	on-Derivat	ive	Securities Ac	quire	d, Di	sposed o	f, or B	eneficia	lly Owned			
Date		2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
					Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(Instr. 4)		
Common Stock			10/02/202	23		A ⁽¹⁾		6,617	A	\$1.7 ⁽²⁾	109,237	D		
Common Stock											47,316	I	By Spouse	
Common Stock											61,265	Ι	By Kenneth C Anderson 2016 Grantor Retained	

						Annuity Trust ⁽³⁾
Common Stock				61,265	I	By Cynthia E. Anderson 2016 Grantor Retained Annuity Trust ⁽⁴⁾
Common Stock				16,939	Ι	By Kenneth C. Anderson 2015 Irrevocable Trust ⁽⁵⁾
Common Stock				16,939	I	By Cynthia E. Anderson 2015 Irrevocable Trust ⁽⁶⁾

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5	vative rities hired r osed) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares were issued in accordance with the Issuer's Non-Employee Director Compensation Policy, pursuant to which the Reporting Person elected to receive shares of the Issuer's common stock in lieu of cash compensation for services as a non-employee director of the Issuer.

2. The price reported in Column 4 is based upon the closing market price of the Issuer's common stock on October 2, 2023.

3. Shares held by the Kenneth C. Anderson 2016 Grantor Retained Annuity Trust. The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

4. Shares held by the Cynthia E. Anderson 2016 Grantor Retained Annuity Trust. The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein. 5. Shares held by the Kenneth C. Anderson 2015 Irrevocable Trust. The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

6. Shares held by the Cynthia E. Anderson 2015 Irrevocable Trust. The Reporting Person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

Remarks:

<u>/s/ Jolie M. Siegel, Attorney-</u> in-Fact <u>10/03/2023</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.