SEC Form 4
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First) UTICS, INC. NY #120	(Middle)		<u>C4</u> 3. Da	Then ate of E								elationship o	f Penortin	n Person	(s) to Issu	er	
1A 1A									CC ]	ľ	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
1A	02472			3. Date of Earliest Transaction (Month/Day/Year) 11/07/2024									Officer (give title Other (specify below) Chief Business Officer				
			4. lf.									<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>					
State)	(Zip)																
str. 3)	able I - No	2. Trans		2A	. Deei	med	3.		4. Securit	ies Acquire	d (A) or	5. Amour		6. Owne	ership 7	. Nature of	
Date (Month			n/Day/Year)   if an			on Date, Day/Yea	Code	sactior (Instr			r. 3, 4 and	Securitie Beneficia Owned F Reported	ally (D) o Following (I) (I		or Indirect Enstr. 4)	Indirect Beneficial Ownership (Instr. 4)	
							Code	• v	Amount	(A) or (D)	Price	Transact (Instr. 3 a	ion(s)			nou. +)	
			7/2024				М		3,750	0 A	(1)	57,	669		>		
			7/2024				F <sup>(2)</sup>		1,102	D	\$6.04	56,	567	D			
	Table II -								osed of, convertib			Owned					
3. Transaction n Date e (Month/Day/Ye	3A. Deen Executio	Date, Trans		ansaction d de (Instr.   ) ( ) ( )		umber ivative urities uired or oosed D) tr. 3, 4 5)	6. Date Exercisat Expiration Date (Month/Day/Year		sable and	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e C 5 F Ily D 9 (I	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficia Ownershi t (Instr. 4)	
			Code	v	(A)	(D)	Date Exercis	able		Title	Amount or Number of Shares						
			М			3,750	(1)		02/28/2025	Common Stock	3,750	\$ <u>0</u>	10,50	0	D		
11/07/2024																<u> </u>	
_		es: ed stock unit ("PRSU") represent esting milestones, and the remain	es: est whit ("PRSU") represents the continues of the continues of the continues of the remaining PRSU and the rem	es: es: ed stock unit ("PRSU") represents the contingent rip resting milestones, and the remaining PRSUs vest u	11/07/2024     M       es:     es:       ed stock unit ("PRSU") represents the contingent right to refersing milestones, and the remaining PRSUs vest upon sati	es: ed stock unit ("PRSU") represents the contingent right to receive resting milestones, and the remaining PRSUs vest upon satisfaction estimation of the stock of the sto	11/07/2024     M     3,750       es:     es:     esting milestones, and the remaining PRSUs vest upon satisfaction of certain of the remaining the rema	Code         V         (A)         (D)         Exercise           11/07/2024         M         A         3,750         (1)           es:         ed stock unit ("PRSU") represents the contingent right to receive one share of the I resting milestones, and the remaining PRSUs vest upon satisfaction of certain discomparison discompa	Code     V     (A)     (D)     Exercisable       11/07/2024     M     3,750     (1)   es: ed stock unit ("PRSU") represents the contingent right to receive one share of the Issuer's resting milestones, and the remaining PRSUs vest upon satisfaction of certain discovery a	Code       V       (A)       (D)       Exercisable       Date         11/07/2024       M       A       3,750       (1)       02/28/2025         es:         ed stock unit ("PRSU") represents the contingent right to receive one share of the Issuer's Common Storesting milestones, and the remaining PRSUs vest upon satisfaction of certain discovery and clinical milestones.	Code       V       (A)       (D)       Exercisable       Date       Title         11/07/2024       M       A       3,750       (1)       02/28/2025       Common Stock         es:         ed stock unit ("PRSU") represents the contingent right to receive one share of the Issuer's Common Stock upon ves resting milestones, and the remaining PRSUs vest upon satisfaction of certain discovery and clinical milestones.	Code     V     (A)     (D)     Date Exercisable     Expiration Date     or Number of Shares       11/07/2024     M     A     A     3,750     (1)     02/28/2025     Common Stock     3,750       es: ed stock unit ("PRSU") represents the contingent right to receive one share of the Issuer's Common Stock upon vesting and se resting milestones, and the remaining PRSUs vest upon satisfaction of certain discovery and clinical milestones.	Image: constraint of the state of the s	Code       V       (A)       (D)       Date Expiration Date       Expiration Date       or Number of Shares         11/07/2024       M       A       3,750       (1)       02/28/2025       Common Stock       3,750       \$0       10,50         est: ed stock unit ("PRSU") represents the contingent right to receive one share of the Issuer's Common Stock upon vesting and settlement. 4,500 PRSUs set resting milestones, and the remaining PRSUs vest upon satisfaction of certain discovery and clinical milestones.       Stock       and settlement. 4,500 PRSUs set	Image: constraint of the state of the s	Image: Code of the system       V       (A)       (D)       Date Expiration Date       or Number of Shares         11/07/2024       M       V       (A)       (D)       Date Expiration Date       Title       of Shares       Image: Code of Shares	

/s/ Jolie M. Siegel, Attorney-in-11/12/2024

Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.